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0360

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HaemoSys GmbH et al.
Serial No.: 10/014,389
Filed: October 22, 2001
For: PROCESS FOR THE
DIAGNOSIS OF DISEASES,
PATHOLOGICAL STATES
AND
PATHOPHYSIOLOGICAL
AS WELL AS
PHYSIOLOGICAL
MEASUREMENT UNITS

Docket No. VOS0003/US

Commissioner for Patents
Washington, D.C. 20231

I CERTIFY THAT, ON JANUARY 22, 2002, THIS PAPER IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL POST
OFFICE TO ADDRESSEE SERVICE UNDER 37 C.F.R. 1.10 IN AN ENVELOPE
ADDRESSED TO COMMISSIONER FOR PATENTS, WASHINGTON, D.C.

20231.

Barbara A. Avery
Barbara A. Avery

Transmittal

Dear Sir/Madam:

Enclosed for filing are the following papers in connection with the above-identified patent application:

1. Small Entity Statement (2 pages).

Applicants respectfully request a refund of \$370.00 in view of the filing of this Small Entity Statement.

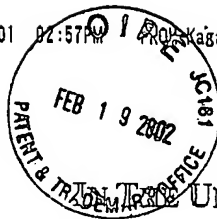
No fee is deemed necessary. Should any fee become necessary, the Commissioner is authorized to charge Kagan Binder Deposit Account No. 50-1775 and thereafter notify us of the same.

Respectfully Submitted,

By:

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Dated: January 22, 2001



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HaemoSys GmbH et al.	Docket No. VOS0003/US COPY OF PAPERS ORIGINALLY FILED
Serial No. To Be Assigned	
Filed: October 22, 2001	
For: PROCESS FOR THE DIAGNOSIS OF DISEASES, PATHOLOGICAL STATES AND PATHOPHYSIOLOGICAL AS WELLAS PHYSIOLOGICAL MEASUREMENT UNITS	

**SMALL BUSINESS CONCERN
SMALL ENTITY STATUS STATEMENT**

I am the owner or an official of the small business concern identified below, and am empowered to act on behalf of the concern.

I hereby declare that the small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law to the invention described in the above-referenced application have been conveyed to and remain with the small business concern. If the rights held by the small business concern are not exclusive, each individual, concern or organization having rights in the invention are listed below, and qualifies as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or qualifies as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

- ☒ No such person, concern or organization exists.
- ☐ Each such person, concern or organization is listed below. (NOTE: A separate small entity statement is required from each listed person, concern or organization)

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Small Business Concern: Hacmosys GmbH

Address of Small Business Concern: Winterackerstr. 2a 07745 Jena / Germany

Name of Person Signing: Dr. Eike Buchta

Title of Person Signing: Chief Executive Officer

Signature: Eike Buchta

Jan. 4, 2002
Date